

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.**

JC
JCWSCS 19 FEB 2004

FEB-18-2004 15:38

WEINTRAUB LAW FIRM

9164461611 P.01/03

Atty Docket No. 8141/11803
PTO FAX NO.: 1-703-746-9195

Attn: Office of Initial Patent Examination's Filing Receipt Corrections

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that the following: Filing Receipt in re Serial No. 10/682,659 is being facsimile transmitted to the Patent and Trademark Office on the date shown below. The Filing Receipt has been marked up to correct one item: the attorney docket number should read 8141/11803 instead of 8181/11803. We will await the Corrected Filing Receipt.

Should you have any questions, please give me a call. No confirmation copy of this document is being sent separately by mail.

Number of pages being transmitted, including this page: 3

Dated: February 18, 2004



Audrey A. Millerman (Reg. No. 44,942)
WEINTRAUB GENSLEA CHEDIAK SPROUL
400 Capitol Mall, Suite 1100
Sacramento, California 95814
Telephone: 916/558-6033
Fax: 916/446-1611

{8141/11803/AAM/691834.DOC;}



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
www.uspto.gov

| APPL NO. | FILING OR 371 (c) DATE | ART UNIT | FIL FEE REC'D | ATTY.DOCKET NO | DRAWNGS | TOT CLMS | IND CLMS |
|------------|---------------------------|----------|---------------|--------------------------|---------|----------|----------|
| 10/682,659 | 10/08/2003 | 1639 | 557 | 8141/11803 8141/11803 | 2 | 9 | 7 |

CONFIRMATION NO. 9161

Audrey A. Millemann
 Weintraub Gensleia Chediak Sproul
 11th Floor
 400 Capitol Mall
 Sacramento, CA 95814

FILING RECEIPT



OC000000011792984

Date Mailed: 01/30/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Kit S. Lam, Davis, CA;
 Derick H. Lau, Gold River, CA;

Domestic Priority data as claimed by applicant

This application is a CIP of 10/032,678 10/26/2001 PAT 6,670,142

Foreign Applications

If Required, Foreign Filing License Granted: 01/06/2004

Projected Publication Date: To Be Determined - pending completion of Sequence Disclosures

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Method for screening combinatorial bead library; ligands for cancer cells

Preliminary Class

435

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).